

QUARTERLY STATEMENT

OF THE

of _____
in the state of _____

TO THE

Insurance Department

OF THE

STATE OF

FOR THE QUARTER ENDED

March 31, 2017

HEALTH

2017



47155201720100101

QUARTERLY STATEMENT

AS OF MARCH 31, 2017
OF THE CONDITION AND AFFAIRS OF THE

Delta Dental Plan of Arkansas, Inc.

NAIC Group Code	0000	0000	NAIC Company Code	47155	Employer's ID Number	71-0561140
	(Current Period)	(Prior Period)				
Organized under the Laws of	Arkansas			State of Domicile or Port of Entry		
Country of Domicile	United States			Ar		
Licensed as business type	Life, Accident & Health [] Dental Service Corporation [] Other []			Property/Casualty [] Vision Service Corporation [] Is HMO Federally Qualified? Yes [] No []		
Incorporated/Organized	March 15, 1982			Commenced Business August 1, 1982		
Statutory Home Office	1513 Country Club Road (Street and Number)			Sherwood, AR US 72120 (City or Town, State, Country and Zip Code)		
Main Administrative Office	1513 Country Club Road (Street and Number)			501-835-3400 (Area Code) (Telephone Number)		
	Sherwood, AR US 72120 (City or Town, State, Country and Zip Code)			501-835-3400 (Area Code) (Telephone Number)		
Mail Address	1513 Country Club Road (Street and Number or P.O. Box)			Sherwood, AR US 72120 (City or Town, State, Country and Zip Code)		
Primary Location of Books and Records	1513 Country Club Road (Street and Number)			Sherwood, AR US 72120 (City or Town, State, Country and Zip Code)		
				501-835-3400 (Area Code) (Telephone Number)		
Internet Website Address	www.deltadentalar.com					
Statutory Statement Contact	Phyllis Lynn Rogers (Name)			501-992-1616 (Area Code) (Telephone Number) (Extension)		
	progers@deltadentalar.com (E-Mail Address)			501-992-1617 (Fax Number)		

OFFICERS

	Name	Title
1.	Eddie Allen Choate	President and CEO
2.	Mel Taylor Collazo	Vice Chair and Secretary
3.	Phillip Wayne Cox	Treasurer

VICE-PRESIDENTS

Name	Title	Name	Title
Ina Lynn Harbert	Senior Vice President and COO	Phyllis Lynn Rogers	Senior Vice President and CFO
James Wayne Couch	Senior Vice President and General Counsel	Ashley Lynne Riddle	VP of Sales & Account Management
Robert Allen Mason	Vice President of Professional Relations	Kelly Terese Carney	Vice President of Human Resources
Ebb Weldon Johnson	VP, Exec Director, DDAR Foundation, Publi	David Edward Hawsey	VP, Marketing

DIRECTORS OR TRUSTEES

Mel Taylor Collazo	Phillip Wayne Cox	Robbins Mark Bailey	Troy John Dryden Bartels
Amoud Krijt #	Susan Jane Fletcher Smith	Terri Anderson Miller	Joseph Wood Thompson
Sarah Jean Clark	James Talbert Johnston	Granville Wayne Callahan, Sr.	

State of Arkansas

County of Pulaski ss

The officers of this reporting entity being duly sworn, each depose and say that they are the described officers of said reporting entity, and that on the reporting period stated above, all of the herein described assets were the absolute property of the said reporting entity, free and clear from any liens or claims thereon, except as herein stated, and that this statement, together with related exhibits, schedules and explanations therein contained, annexed or referred to, is a full and true statement of all the assets and liabilities and of the condition and affairs of the said reporting entity as of the reporting period stated above, and of its income and deductions therefrom for the period ended, and have been completed in accordance with the NAIC Annual Statement Instructions and Accounting Practices and Procedures manual except to the extent that: (1) state law may differ; or, (2) that state rules or regulations require differences in reporting not related to accounting practices and procedures, according to the best of their information, knowledge and belief, respectively. Furthermore, the scope of this attestation by the described officers also includes the related corresponding electronic filing with the NAIC, when required, that is an exact copy (except for formatting differences due to electronic filing) of the enclosed statement. The electronic filing may be requested by various regulators in lieu of or in addition to the enclosed statement.

(Signature)	(Signature)	(Signature)
Eddie Allen Choate	Mel Taylor Collazo	Phillip Wayne Cox
(Printed Name)	(Printed Name)	(Printed Name)
1.	2.	3.
President and CEO	Vice Chair and Secretary	Not Required
(Title)	(Title)	(Title)

Subscribed and sworn to before me this	a. Is this an original filing?	[X] Yes [] No
_____ day of _____, 2017	b. If no:	1. State the amendment number _____
		2. Date filed _____
		3. Number of pages attached _____

ASSETS

	Current Statement Date			4 December 31 Prior Year Net Admitted Assets
	1 Assets	2 Nonadmitted Assets	3 Net Admitted Assets (Cols. 1 - 2)	
1. Bonds	35,178,986		35,178,986	31,844,700
2. Stocks:				
2.1 Preferred stocks				
2.2 Common stocks	37,560,714	17,001,737	20,558,977	20,445,199
3. Mortgage loans on real estate:				
3.1 First liens				
3.2 Other than first liens				
4. Real estate:				
4.1 Properties occupied by the company (less \$ 0 encumbrances)	8,563,674		8,563,674	8,623,546
4.2 Properties held for the production of income (less \$ 0 encumbrances)				
4.3 Properties held for sale (less \$ 0 encumbrances)				
5. Cash (\$ 6,348,405), cash equivalents (\$ 150,000), and short-term investments (\$ 978,980)	7,477,386		7,477,386	15,977,413
6. Contract loans (including \$ 0 premium notes)				
7. Derivatives				
8. Other invested assets	4,251,707		4,251,707	4,318,751
9. Receivables for securities				
10. Securities lending reinvested collateral assets				
11. Aggregate write-ins for invested assets	2,021,771		2,021,771	1,898,396
12. Subtotals, cash and invested assets (Lines 1 to 11)	95,054,238	17,001,737	78,052,501	83,108,005
13. Title plants less \$ 0 charged off (for Title insurers only)				
14. Investment income due and accrued	137,226		137,226	102,016
15. Premiums and considerations:				
15.1 Uncollected premiums and agents' balances in the course of collection	3,591,825		3,591,825	4,018,269
15.2 Deferred premiums, agents' balances and installments booked but deferred and not yet due (including \$ 0 earned but unbilled premiums)				
15.3 Accrued retrospective premiums (\$ 0) and contracts subject to redetermination (\$ 0)				
16. Reinsurance:				
16.1 Amounts recoverable from reinsurers				
16.2 Funds held by or deposited with reinsured companies	13,307,249		13,307,249	
16.3 Other amounts receivable under reinsurance contracts	7,009,734		7,009,734	12,458,155
17. Amounts receivable relating to uninsured plans				1,676,866
18.1 Current federal and foreign income tax recoverable and interest thereon				
18.2 Net deferred tax asset				
19. Guaranty funds receivable or on deposit				
20. Electronic data processing equipment and software	954,762	412,039	542,723	666,829
21. Furniture and equipment, including health care delivery assets (\$ 0)	171,941	171,941		
22. Net adjustment in assets and liabilities due to foreign exchange rates				
23. Receivables from parent, subsidiaries and affiliates				70,745
24. Health care (\$ 0) and other amounts receivable				
25. Aggregate write-ins for other than invested assets	1,020,742	867,113	153,629	125,109
26. Total assets excluding Separate Accounts, Segregated Accounts and Protected Cell Accounts (Lines 12 to 25)	121,247,717	18,452,830	102,794,887	102,225,994
27. From Separate Accounts, Segregated Accounts and Protected Cell Accounts				
28. Total (Lines 26 and 27)	121,247,717	18,452,830	102,794,887	102,225,994

DETAILS OF WRITE-IN LINES				
1101. Deferred Compensation - 457	2,021,771		2,021,771	1,898,396
1102.				
1103.				
1198. Summary of remaining write-ins for Line 11 from overflow page				
1199. Totals (Lines 1101 through 1103 plus 1198) (Line 11 above)	2,021,771		2,021,771	1,898,396
2501. Prepaid Expenses & Deposits	866,920	866,920		
2502. Miscellaneous Receivable	153,822	193	153,629	125,109
2503.				
2598. Summary of remaining write-ins for Line 25 from overflow page				
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	1,020,742	867,113	153,629	125,109

LIABILITIES, CAPITAL AND SURPLUS

	Current Period			Prior Year
	1 Covered	2 Uncovered	3 Total	4 Total
1. Claims unpaid (less \$ 31,721 reinsurance ceded)	5,936,741		5,936,741	6,967,901
2. Accrued medical incentive pool and bonus amounts				
3. Unpaid claims adjustment expenses	157,806		157,806	171,591
4. Aggregate health policy reserves, including the liability of \$ 0 for medical loss ratio rebate per the Public Health Service Act				
5. Aggregate life policy reserves				
6. Property/casualty unearned premium reserve				
7. Aggregate health claim reserves				
8. Premiums received in advance	2,909,065		2,909,065	2,368,013
9. General expenses due or accrued	14,787,387		14,787,387	14,877,218
10.1 Current federal and foreign income tax payable and interest thereon (including \$ 0 on realized gains (losses))				
10.2 Net deferred tax liability				
11. Ceded reinsurance premiums payable	1,071,102		1,071,102	1,071,102
12. Amounts withheld or retained for the account of others	1,462,479		1,462,479	1,364,258
13. Remittances and items not allocated				
14. Borrowed money (including \$ 0 current) and interest thereon \$ 0 (including \$ 0 current)				
15. Amounts due to parent, subsidiaries and affiliates	1,805,682		1,805,682	1,881,831
16. Derivatives				
17. Payable for securities				
18. Payable for securities lending				
19. Funds held under reinsurance treaties (with \$ 0 authorized reinsurers, \$ 0 unauthorized reinsurers, and \$ 0 certified reinsurers)				
20. Reinsurance in unauthorized and certified (\$ 0) companies	31,721		31,721	133,986
21. Net adjustments in assets and liabilities due to foreign exchange rates				
22. Liability for amounts held under uninsured plans	1,924,013		1,924,013	1,924,013
23. Aggregate write-ins for other liabilities (including \$ 0 current)				
24. Total liabilities (Lines 1 to 23)	30,085,996		30,085,996	30,759,913
25. Aggregate write-ins for special surplus funds	X X X	X X X		
26. Common capital stock	X X X	X X X		
27. Preferred capital stock	X X X	X X X		
28. Gross paid in and contributed surplus	X X X	X X X		
29. Surplus notes	X X X	X X X		
30. Aggregate write-ins for other than special surplus funds	X X X	X X X	50,000	50,000
31. Unassigned funds (surplus)	X X X	X X X	72,658,891	71,416,081
32. Less treasury stock, at cost:				
32.1 0 shares common (value included in Line 26 \$ 0)	X X X	X X X		
32.2 0 shares preferred (value included in Line 27 \$ 0)	X X X	X X X		
33. Total capital and surplus (Lines 25 to 31 minus Line 32)	X X X	X X X	72,708,891	71,466,081
34. Total liabilities, capital and surplus (Lines 24 and 33)	X X X	X X X	102,794,887	102,225,994

DETAILS OF WRITE-IN LINES				
2301.	NONE			
2302.				
2303.				
2398. Summary of remaining write-ins for Line 23 from overflow page				
2399. Totals (Lines 2301 through 2303 plus 2398) (Line 23 above)				
2501. Affordable Care Act Section 9010 Fee Assessment - 2016	X X X	X X X		
2502.	X X X	X X X		
2503.	X X X	X X X		
2598. Summary of remaining write-ins for Line 25 from overflow page	X X X	X X X		
2599. Totals (Lines 2501 through 2503 plus 2598) (Line 25 above)	X X X	X X X		
3001. Surplus required by the Arkansas Insurance Department	X X X	X X X	50,000	50,000
3002.	X X X	X X X		
3003.	X X X	X X X		
3098. Summary of remaining write-ins for Line 30 from overflow page	X X X	X X X		
3099. Totals (Lines 3001 through 3003 plus 3098) (Line 30 above)	X X X	X X X	50,000	50,000

STATEMENT OF REVENUE AND EXPENSES

	Current Year		Prior Year	Prior Year Ended
	To Date		To Date	December 31
	1	2	3	4
	Uncovered	Total	Total	Total
1. Member Months	X X X	787,297	803,915	3,210,864
2. Net premium income (including \$ 0 non-health premium income)	X X X	42,193,785	39,983,126	161,578,227
3. Change in unearned premium reserves and reserve for rate credits	X X X	387	4,124	296
4. Fee-for-service (net of \$ 0 medical expenses)	X X X			
5. Risk revenue	X X X			
6. Aggregate write-ins for other health care related revenues	X X X			
7. Aggregate write-ins for other non-health revenues	X X X	112,504	117,042	438,035
8. Total revenues (Lines 2 to 7)	X X X	42,306,676	40,104,292	162,016,558
Hospital and Medical:				
9. Hospital/medical benefits		25,063,157	24,434,437	94,899,516
10. Other professional services				
11. Outside referrals				
12. Emergency room and out-of-area				
13. Prescription drugs				
14. Aggregate write-ins for other hospital and medical				
15. Incentive pool, withhold adjustments and bonus amounts				
16. Subtotal (Lines 9 to 15)		25,063,157	24,434,437	94,899,516
Less:				
17. Net reinsurance recoveries		(9,262,354)	(7,149,827)	(30,036,824)
18. Total hospital and medical (Lines 16 minus 17)		34,325,511	31,584,264	124,936,340
19. Non-health claims (net)				
20. Claims adjustment expenses, including \$ 0 cost containment expenses		3,315,691	2,751,511	11,230,058
21. General administrative expenses		6,907,694	7,788,254	20,287,919
22. Increase in reserves for life and accident and health contracts (including \$ 0 increase in reserves for life only)				
23. Total underwriting deductions (Lines 18 through 22)		44,548,896	42,124,029	156,454,317
24. Net underwriting gain or (loss) (Lines 8 minus 23)	X X X	(2,242,220)	(2,019,737)	5,562,241
25. Net investment income earned		177,431	78,498	396,355
26. Net realized capital gains (losses) less capital gains tax of \$ 0		15,201	70,623	3,206,564
27. Net investment gains (losses) (Lines 25 plus 26)		192,632	149,121	3,602,919
28. Net gain or (loss) from agents' or premium balances charged off [(amount recovered \$ 0) (amount charged off \$ 0)]				
29. Aggregate write-ins for other income or expenses				
30. Net income or (loss) after capital gains tax and before all other federal income taxes (Lines 24 plus 27 plus 28 plus 29)	X X X	(2,049,588)	(1,870,616)	9,165,160
31. Federal and foreign income taxes incurred	X X X			
32. Net income (loss) (Lines 30 minus 31)	X X X	(2,049,588)	(1,870,616)	9,165,160

DETAILS OF WRITE-IN LINES				
0601. Miscellaneous Income	X X X			
0602.	X X X			
0603.	X X X			
0698. Summary of remaining write-ins for Line 06 from overflow page	X X X			
0699. Totals (Lines 0601 through 0603 plus 0698) (Line 06 above)	X X X			
0701. Miscellaneous Income	X X X	112,504	117,042	438,035
0702.	X X X			
0703.	X X X			
0798. Summary of remaining write-ins for Line 07 from overflow page	X X X			
0799. Totals (Lines 0701 through 0703 plus 0798) (Line 07 above)	X X X	112,504	117,042	438,035
1401.	NONE			
1402.				
1403.				
1498. Summary of remaining write-ins for Line 14 from overflow page				
1499. Totals (Lines 1401 through 1403 plus 1498) (Line 14 above)				
2901.	NONE			
2902.				
2903.				
2998. Summary of remaining write-ins for Line 29 from overflow page				
2999. Totals (Lines 2901 through 2903 plus 2998) (Line 29 above)				

STATEMENT OF REVENUE AND EXPENSES (Continued)

	1	2	3
	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
CAPITAL & SURPLUS ACCOUNT			
33. Capital and surplus prior reporting year	71,466,101	70,590,774	70,590,774
34. Net income or (loss) from Line 32	(2,049,588)	(1,870,616)	9,165,160
35. Change in valuation basis of aggregate policy and claim reserves			
36. Change in net unrealized capital gains (losses) less capital gains tax of \$ 0	1,491,764	(804,656)	(1,975,768)
37. Change in net unrealized foreign exchange capital gain or (loss)			
38. Change in net deferred income tax			
39. Change in nonadmitted assets	1,698,349	(2,501,013)	(6,287,668)
40. Change in unauthorized and certified reinsurance	102,265	(30,016)	(26,397)
41. Change in treasury stock			
42. Change in surplus notes			
43. Cumulative effect of changes in accounting principles			
44. Capital Changes:			
44.1 Paid in			
44.2 Transferred from surplus (Stock Dividend)			
44.3 Transferred to surplus			
45. Surplus adjustments:			
45.1 Paid in			
45.2 Transferred to capital (Stock Dividend)			
45.3 Transferred from capital			
46. Dividends to stockholders			
47. Aggregate write-ins for gains or (losses) in surplus			
48. Net change in capital and surplus (Lines 34 to 47)	1,242,790	(5,206,301)	875,327
49. Capital and surplus end of reporting period (Line 33 plus 48)	72,708,891	65,384,473	71,466,101

DETAILS OF WRITE-IN LINES			
4701.	NONE		
4702.			
4703.			
4798. Summary of remaining write-ins for Line 47 from overflow page			
4799. Totals (Lines 4701 through 4703 plus 4798) (Line 47 above)			

CASH FLOW

	1	2	3
Cash from Operations	Current Year To Date	Prior Year To Date	Prior Year Ended December 31
1. Premiums collected net of reinsurance	42,369,863	36,195,946	152,745,317
2. Net investment income	220,242	46,102	505,758
3. Miscellaneous income	183,527	131,316	379,319
4. Total (Lines 1 to 3)	42,773,632	36,373,364	153,630,394
5. Benefit and loss related payments	34,680,593	32,275,087	126,263,937
6. Net transfers to Separate Accounts, Segregated Accounts and Protected Cell Accounts			
7. Commissions, expenses paid and aggregate write-ins for deductions	16,489,900	19,725,691	25,049,851
8. Dividends paid to policyholders			
9. Federal and foreign income taxes paid (recovered) net of \$ 0 tax on capital gains (losses)			
10. Total (Lines 5 through 9)	51,170,493	52,000,778	151,313,788
11. Net cash from operations (Line 4 minus Line 10)	(8,396,861)	(15,627,414)	2,316,606
Cash from Investments			
12. Proceeds from investments sold, matured or repaid:			
12.1 Bonds	1,754,285	338,416	5,151,463
12.2 Stocks	5,241,704		19,324,450
12.3 Mortgage loans			
12.4 Real estate			
12.5 Other invested assets	62,500	120,572	1,076,544
12.6 Net gains (or losses) on cash, cash equivalents and short-term investments			
12.7 Miscellaneous proceeds			
12.8 Total investment proceeds (Lines 12.1 to 12.7)	7,058,489	458,988	25,552,457
13. Cost of investments acquired (long-term only):			
13.1 Bonds	5,078,245	1,836,417	11,223,098
13.2 Stocks	1,622,194	2,371,976	25,110,120
13.3 Mortgage loans			
13.4 Real estate			274,976
13.5 Other invested assets		58,072	253,430
13.6 Miscellaneous applications			
13.7 Total investments acquired (Lines 13.1 to 13.6)	6,700,439	4,266,465	36,861,624
14. Net increase (or decrease) in contract loans and premium notes			
15. Net cash from investments (Line 12.8 minus Line 13.7 and Line 14)	358,050	(3,807,477)	(11,309,167)
Cash from Financing and Miscellaneous Sources			
16. Cash provided (applied):			
16.1 Surplus notes, capital notes			
16.2 Capital and paid in surplus, less treasury stock			
16.3 Borrowed funds			
16.4 Net deposits on deposit-type contracts and other insurance liabilities			
16.5 Dividends to stockholders			
16.6 Other cash provided (applied)	(461,215)	(1,693,593)	(1,941,063)
17. Net cash from financing and miscellaneous sources (Line 16.1 through Line 16.4 minus Line 16.5 plus Line 16.6)	(461,215)	(1,693,593)	(1,941,063)
RECONCILIATION OF CASH, CASH EQUIVALENTS AND SHORT-TERM INVESTMENTS			
18. Net change in cash, cash equivalents and short-term investments (Line 11, plus Lines 15 and 17)	(8,500,026)	(21,128,484)	(10,933,624)
19. Cash, cash equivalents and short-term investments:			
19.1 Beginning of year	15,977,413	26,911,037	26,911,037
19.2 End of period (Line 18 plus Line 19.1)	7,477,387	5,782,553	15,977,413

Note: Supplemental disclosures of cash flow information for non-cash transactions:

20.0001			
20.0002			
20.0003			

EXHIBIT OF PREMIUMS, ENROLLMENT AND UTILIZATION

	1	Comprehensive (Hospital & Medical)		4	5	6	7	8	9	10
		2	3							
	Total	Individual	Group	Medicare Supplement	Vision Only	Dental Only	Federal Employees Health Benefit Plan	Title XVIII Medicare	Title XIX Medicaid	Other
Total Members at end of:										
1. Prior Year	265,714				49,954	215,760				
2. First Quarter	261,712				48,131	213,581				
3. Second Quarter										
4. Third Quarter										
5. Current Year										
6. Current Year Member Months	787,297				143,437	643,860				
Total Member Ambulatory Encounters for Period:										
7. Physician										
8. Non-Physician										
9. Totals										
10. Hospital Patient Days Incurred										
11. Number of Inpatient Admissions										
12. Health Premiums Written (a)	31,384,587				1,716,643	29,667,944				
13. Life Premiums Direct										
14. Property/Casualty Premiums Written										
15. Health Premiums Earned	31,384,587				1,716,643	29,667,944				
16. Property/Casualty Premiums Earned										
17. Amount Paid for Provision of Health Care Services	26,135,296				856,788	25,278,508				
18. Amount Incurred for Provision of Health Care Services	25,063,158				1,119,796	23,943,362				

(a) For health premiums written: amount of Medicare Title XVIII exempt from state taxes or fees \$ 0

CLAIMS UNPAID AND INCENTIVE POOL, WITHHOLD AND BONUS (Reported and Unreported)

Aging Analysis of Unpaid Claims

[illegible]

UNDERWRITING AND INVESTMENT EXHIBIT
ANALYSIS OF CLAIMS UNPAID - PRIOR YEAR - NET OF REINSURANCE

Line of Business	Claims Paid Year to Date		Liability End of Current Quarter		5 Claims Incurred in Prior Years (Columns 1 + 3)	6 Estimated Claim Reserve and Claim Liability Dec. 31 of Prior Year
	1 On Claims Incurred Prior to January 1 of Current Year	2 On Claims Incurred During the Year	3 On Claims Unpaid Dec. 31 of Prior Year	4 On Claims Incurred During the Year		
1. Comprehensive (hospital and medical)						
2. Medicare Supplement						
3. Dental only	4,336,189	30,094,450	418,974	5,204,902	4,755,163	6,833,915
4. Vision only	123,993	802,040	31,721	281,144	155,714	133,986
5. Federal Employees Health Benefits Plan						
6. Title XVIII - Medicare						
7. Title XIX - Medicaid						
8. Other health						
9. Health subtotal (Lines 1 to 8)	4,460,182	30,896,490	450,695	5,486,046	4,910,877	6,967,901
10. Health care receivables (a)						
11. Other non-health						
12. Medical incentive pools and bonus amounts						
13. Totals (Lines 9 - 10 + 11 + 12)	4,460,182	30,896,490	450,695	5,486,046	4,910,877	6,967,901

(a) Excludes \$ 0 loans or advances to providers not yet expensed.

NOTES TO FINANCIAL STATEMENTS

Note 1: Summary of Significant Accounting Policies

A. Accounting Practices

No Change.

B. Use of Estimates in the Preparation of the Financial Statements

No Change.

C. Accounting Policy

- (1) No Change
- (2) No Change
- (3) No Change
- (4) No Change
- (5) No Change
- (6) No Change
- (7) No Change
- (8) No Change
- (9) No Change
- (10) No Change
- (11) No Change
- (12) No Change
- (13) No Change

Note 2: Accounting Changes and Corrections of Errors

- A. No Change

Note 3: Business Combinations and Goodwill

- A. No Change
- B. No Change
- C. No Change
- D. No Change

NOTES TO FINANCIAL STATEMENTS

Note 4: Discontinued Operations

No Change

Note 5: Investments

- A. No Change
- B. No Change
- C. No Change
- D. No Change
- E. No Change
- F. No Change
- G. No Change
- H. No Change
- I. Working Capital Finance Investments – N/A

Note 6: Joint Ventures, Partnerships and Limited Liability Companies

No Change

Note 7: Investment Income

- A. No Change
- B. No Change

Note 8: Derivative Instruments

No Change

Note 9: Income Taxes

- A. No Change
- B. No Change

NOTES TO FINANCIAL STATEMENTS

- C. No Change
- D. No Change
- E. No Change
- F. No Change

Note 10: Information Concerning Parent, Subsidiaries and Affiliates

- A. No Change
- B. No Change
- C. No Change
- D. At March 31, 2017, the Company reported \$0 due from subsidiary, Omega Adminstrators, Inc. (OAI), \$0 from subsidiary, Delta Dental of Arkansas Foundation, Inc. and \$1,709,301 due to the affiliate, Delta Dental of Michigan (DDMI) and \$96,381 due to the affiliate, Renaissance Life and Health Insurance Company (RLHIA), respectively. These amounts will be settled within thirty days of the report date.
- E. No Change
- F. No Change
- G. No Change
- H. No Change
- I. No Change
- J. No Change
- K. No Change
- L. No Change

Note 11: Debt

No Change

Note 12: Retirement Plans, Deferred Compensation, Postemployment Benefits and Compensated Absences and Other Postretirement Benefit Plans

NOTES TO FINANCIAL STATEMENTS

A Nonqualified 457(b) Retirement Plan was established by the Company on April 1, 2003, "as an inducement and motivation to its key managerial and highly compensated employees and its Board of Directors." Participation in the plan is determined at the sole discretion of the Company's Board of Directors. At March 31, 2017, plan assets totaled \$2,021,771 and plan liabilities totaled \$2,021,771 resulting in gains (losses) reported in the statutory statements of activities of \$0.

A Nonqualified 457(f) Retirement Plan was established by the Company on January 1, 2016, to retain senior officers. The plan requires a five year vesting period. Participation in the plan is determined at the sole discretion of the Company's Board of Directors. The Board of Directors approves the funding of the plan in the first quarter of each year.

Effective January 1, 2013, The Company sponsors a 401(k) plan (the "Plan") covering substantially all employees greater than 18 years old on first day of service. The Company will match 100 percent of the first 3 percent of deferred wages and 50 percent of the next 2 percent of deferred wages. A participant is immediately 100 percent vested in employee salary, rollover, and Company matching contributions and any income or loss thereon.

The Company also sponsors a profit-sharing plan covering all full-time employees who have completed one year of service. Contributions to the plan are discretionary and limited by the Internal Revenue Code. A participant is fully vested after a three-year period. Contributions to the profit-sharing plan totaled approximately \$82,000 and \$78,000 for the years ended March 31, 2017 and 2016, respectively.

- A. No Change
- B. No Change
- C. No Change
- D. No Change
- E. No Change
- F. No Change

Note 13: Capital and Surplus, Shareholders' Dividend Restrictions and Quasi- Reorganizations

- (1) No Change
- (2) No Change
- (3) No Change
- (4) No Change
- (5) No Change

NOTES TO FINANCIAL STATEMENTS

(6) No Change

(7) No Change

(8) No Change

(9) No Change

(10) The portion of unassigned funds (surplus) represented or reduced by cumulative unrealized gains and losses is \$4,749,246 as of March 31, 2017.

(11) No Change

(12) No Change

(13) No Change

Note 14: Contingencies

A. No Change

B. No Change

C. No Change

D. No Change

E. No Change

Note 15: Leases

No Change

Note 16: Information About Financial Instruments With Off-Balance Sheet Risk and Financial Instruments With Concentrations of Credit Risk

No Change

Note 17: Sale, Transfer and Servicing of Financial Assets and Extinguishment of Liabilities

No Change

NOTES TO FINANCIAL STATEMENTS

Note 18: Gain or Loss to the Reporting Entity From Uninsured Plans and From the Uninsured Portion of Partially Insured Plans

- A. No Change
- B. The gain from operations from Administrative Services Contract (ASC) uninsured plans and the uninsured portion of partially insured plans were as follows for the years ended March 31, 2017 and 2016:

	2017	2016
Gross reimbursement for medical costs incurred	\$ 86,548,755	\$ 79,691,430
Gross administrative fees accrued	3,879,799	3,758,804
Gross expenses incurred (claims and administrative)	(90,428,554)	(83,450,234)
Total net gain or loss from operations	\$ 0	\$ 0

- C. No Change

Note 19: Direct Premiums Written/Produced by Managing General Agents/Third Party Administrators

No Change

Note 20: Fair Value Measurements

- A. Fair Value Measurements at Reporting Date

(1) Description	(2) Level 1	(3) Level 2	(4) Level 3	(5) Total
Assets at Fair Value				
Cash and ST Investments				
Cash	\$6,348,405	\$0		\$6,348,405
Money Markets	978,980			978,980
Certificates of deposit	150,000			150,000
Bonds				
US Treasury		17,148,281		17,148,281
US Gov’t Agencies		3,850,881		3,850,881
Industrial and Misc	4,908,272	9,224,551		14,132,823
Mutual Funds				
Large Cap	1,411,066			1,411,066
Mid Cap	1,601,040			1,601,040
Small Cap	723,685			723,685

NOTES TO FINANCIAL STATEMENTS

International	<u>2,256,210</u>	<u>\$ 0</u>	<u>\$ 0</u>	<u>2,256,210</u>
Total Assets at Fair Value	<u>\$18,377,658</u>	<u>\$30,223,713</u>	<u>\$ 0</u>	<u>\$48,601,371</u>

B. Other Fair Value Measurements

C. Fair Value Measurements Aggregate

(1) Type of Financial Instrument	(2) Aggregate Fair Value	(3) Admitted Assets	(4) Level 1	(4) Level 2	(4) Level 3	(5) Not Practicable
Cash and ST Inv	\$ 7,477,386	\$ 7,477,386	\$ 7,477,386			
Bonds	35,131,985	35,178,986	4,908,272	30,223,713		
Mutual Funds	<u>5,992,001</u>	<u>15,310,666</u>	<u>5,992,001</u>			
Total	<u>\$48,601,371</u>	<u>\$50,489,652</u>	<u>\$18,377,658</u>	<u>\$30,223,713</u>	<u>\$ 0</u>	<u>\$ 0</u>

D. No Change

Note 21: Other Items

- A. No Change
- B. No Change
- C. No Change
- D. No Change
- E. No Change
- F. No Change
- G. No Change
- H. Offsetting and Netting of Assets and Liabilities – N/A
- I. Joint and Several Liabilities – N/A
- J. Risk Sharing Provisions of the Affordable Care Act - None

Note 22: Events Subsequent

No Change

Note 23: Reinsurance

- A. No Change

NOTES TO FINANCIAL STATEMENTS

B. No Change

C. No Change

D. No Change

Note 24: Retrospectively Rated Contracts and Contracts Subject to Redetermination

No Change

Note 25: Change in Incurred Claims and Claims Adjustment Expenses

No Change

Note 26: Intercompany Pooling Arrangements

No Change

Note 27: Structured Settlements

No Change

Note 28: Health Care Receivables

No Change

Note 29: Participating Policies

No Change

Note 30: Premium Deficiency Reserves

No Change

Note 31: Anticipated Salvage and Subrogation

No Change

NOTES TO FINANCIAL STATEMENTS

GENERAL INTERROGATORIES

PART 1 – COMMON INTERROGATORIES

GENERAL

1.1 Did the reporting entity experience any material transactions requiring the filing of Disclosure of Material Transactions with the State of Domicile, as required by the Model Act?

Yes ☐ No ☒

1.2 If yes, has the report been filed with the domiciliary state?

Yes ☐ No ☐

2.1 Has any change been made during the year of this statement in the charter, by-laws, articles of incorporation, or deed of settlement of the reporting entity?

Yes ☐ No ☒

2.2 If yes, date of change:

3.1 Is the reporting entity a member of an Insurance Holding Company System consisting of two or more affiliated persons, one or more of which is an insurer?

Yes ☒ No ☐

If yes, complete Schedule Y, Parts 1, and 1A.

3.2 Have there been any substantial changes in the organizational chart since the prior quarter end?

Yes ☐ No ☒

3.3 If the response to 3.2 is yes, provide a brief description of those changes.

4.1 Has the reporting entity been a party to a merger or consolidation during the period covered by this statement?

Yes ☐ No ☒

4.2 If yes, provide the name of entity, NAIC Company Code, and state of domicile (use two letter state abbreviation) for any entity that has ceased to exist as a result of the merger or consolidation.

1 Name of Entity	2 NAIC Company Code	3 State of Domicile

5. If the reporting entity is subject to a management agreement, including third-party administrator(s), managing general agent(s), attorney-in-fact, or similar agreement, have there been any significant changes regarding the terms of the agreement or principals involved?
If yes, attach an explanation.

Yes ☐ No ☒ N/A ☐

6.1 State as of what date the latest financial examination of the reporting entity was made or is being made.

01/10/2017

6.2 State the as of date that the latest financial examination report became available from either the state of domicile or the reporting entity. This date should be the date of the examined balance sheet and not the date the report was completed or released.

12/31/2013

6.3 State as of what date the latest financial examination report became available to other states or the public from either the state of domicile or the reporting entity. This is the release date or completion date of the examination report and not the date of the examination (balance sheet date).

12/29/2014

6.4 By what department or departments?

6.5 Have all financial statement adjustments within the latest financial examination report been accounted for in a subsequent financial statement filed with Departments?

Yes ☒ No ☐ N/A ☐

6.6 Have all of the recommendations within the latest financial examination report been complied with?

Yes ☒ No ☐ N/A ☐

7.1 Has this reporting entity had any Certificates of Authority, licenses or registrations (including corporate registration, if applicable) suspended or revoked by any governmental entity during the reporting period?

Yes ☐ No ☒

GENERAL INTERROGATORIES

7.2 If yes, give full information

.....
.....
.....
.....

8.1 Is the company a subsidiary of a bank holding company regulated by the Federal Reserve Board? Yes [] No [X]

8.2 If response to 8.1 is yes, please identify the name of the bank holding company.

.....
.....
.....

8.3 Is the company affiliated with one or more banks, thrifts or securities firms? Yes [] No [X]

8.4 If response to 8.3 is yes, please provide below the names and location (city and state of the main office) of any affiliates regulated by a federal regulatory services agency [i.e. the Federal Reserve Board (FRB), the Office of the Comptroller of the Currency (OCC), the Federal Deposit Insurance Corporation (FDIC) and the Securities Exchange Commission (SEC)] and identify the affiliate's primary federal regulator.

1 Affiliate Name	2 Location (City, State)	3 FRB	4 OCC	5 FDIC	6 SEC
.....

9.1 Are the senior officers (principal executive officer, principal financial officer, principal accounting officer or controller, or persons performing similar functions) of the reporting entity subject to a code of ethics, which includes the following standards?

- (a) Honest and ethical conduct, including the ethical handling of actual or apparent conflicts of interest between personal and professional relationships;
- (b) Full, fair, accurate, timely and understandable disclosure in the periodic reports required to be filed by the reporting entity;
- (c) Compliance with applicable governmental laws, rules, and regulations;
- (d) The prompt internal reporting of violations to an appropriate person or persons identified in the code; and
- (e) Accountability for adherence to the code.

Yes [X] No []

9.11 If the response to 9.1 is No, please explain:

.....
.....
.....

9.2 Has the code of ethics for senior managers been amended? Yes [] No [X]

9.21 If the response to 9.2 is Yes, provide information related to amendment(s).

.....
.....
.....

9.3 Have any provisions of the code of ethics been waived for any of the specified officers? Yes [] No [X]

9.31 If the response to 9.3 is Yes, provide the nature of any waiver(s).

.....
.....
.....

FINANCIAL

10.1 Does the reporting entity report any amounts due from parent, subsidiaries or affiliates on Page 2 of this statement? Yes [X] No []

10.2 If yes, indicate any amounts receivable from parent included in the Page 2 amount: \$ _____

INVESTMENT

11.1 Were any of the stocks, bonds, or other assets of the reporting entity loaned, placed under option agreement, or otherwise made available for use by another person? (Exclude securities under securities lending agreements.) Yes [] No [X]

GENERAL INTERROGATORIES

11.2 If yes, give full and complete information relating thereto:

.....

.....

.....

12. Amount of real estate and mortgages held in other invested assets in Schedule BA: \$ 4,251,707

13. Amount of real estate and mortgages held in short-term investments: \$

14.1 Does the reporting entity have any investments in parent, subsidiaries and affiliates? Yes [X] No []

14.2 If yes, please complete the following:

	1	2
	Prior Year-End Book/Adjusted Carrying Value	Current Quarter Book/Adjusted Carrying Value
14.21 Bonds	\$	\$
14.22 Preferred Stock	\$	\$
14.23 Common Stock	\$ 12,748,600	\$ 13,072,226
14.24 Short-Term Investments	\$	\$
14.25 Mortgage Loans on Real Estate	\$	\$
14.26 All Other	\$	\$
14.27 Total Investment in Parent, Subsidiaries and Affiliates (Subtotal Lines 14.21 to 14.26)	\$ 12,748,600	\$ 13,072,226
14.28 Total Investment in Parent included in Lines 14.21 to 14.26 above	\$	\$

15.1 Has the reporting entity entered into any hedging transactions reported on Schedule DB? Yes [] No [X]

15.2 If yes, has a comprehensive description of the hedging program been made available to the domiciliary state?
If no, attach a description with this statement. Yes [] No []

16. For the reporting entity's security lending program, state the amount of the following as current statement date:

16.1 Total fair value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$

16.2 Total book adjusted/carrying value of reinvested collateral assets reported on Schedule DL, Parts 1 and 2 \$

16.3 Total payable for securities lending reported on the liability page \$

17. Excluding items in Schedule E - Part 3 - Special Deposits, real estate, mortgage loans and investments held physically in the reporting entity's offices, vaults or safety deposit boxes, were all stocks, bonds and other securities, owned throughout the current year held pursuant to a custodial agreement with a qualified bank or trust company in accordance with Section 1, III - General Examination Considerations, F. Outsourcing of Critical Functions, Custodial or Safekeeping Agreements of the NAIC Financial Condition Examiners Handbook? Yes [X] No []

17.1 For all agreements that comply with the requirements of the NAIC Financial Condition Examiners Handbook, complete the following:

1	2
Name of Custodian(s)	Custodian Address
Stephens Capital Management	111 Center Street, Little Rock, AR 72201
Regions	400 West Capitol, Little Rock, AR 72201
First Security	314 N Spring Street, Searcy, AR 72143

17.2 For all agreements that do not comply with the requirements of the NAIC Financial Condition Examiners Handbook, provide the name, location and a complete explanation:

1	2	3
Name(s)	Location(s)	Complete Explanation(s)
.....
.....

17.3 Have there been any changes, including name changes, in the custodian(s) identified in 17.1 during the current quarter? Yes [] No [X]

GENERAL INTERROGATORIES

17.4 If yes, give full and complete information relating thereto:

1 Old Custodian	2 New Custodian	3 Date of Change	4 Reason

17.5 Investment management - Identify all investment advisors, investment managers, broker/dealers. Including individuals that have the authority to make investments decisions on behalf of the reporting entity. For assets that are managed internally by employees of the reporting entity, note as such. ["..that have access to the investment accounts";"..handle securities"]

1 Name of Firm or Individual	2 Affiliation
Stephens Capital Management	U
Luther King	U
FCI	U

17.5097 For those firms/individuals listed in the table for Question 17.5, do any firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") manage more than 10% of the reporting entity's assets? Yes [X] No []

17.5098 For firms/individuals unaffiliated with the reporting entity (i.e., designated with a "U") listed in the table for Question 17.5, the total assets under management aggregate to more than 50% of the reporting entity's assets? Yes [] No [X]

17.6 For those firms or individuals listed in the table for 17.5 with an affiliation code of "A" (affiliated) or "U" (unaffiliated), provide the information for the table below.

1 Central Registration Depository Number	2 Name of Firm or Individual	3 Legal Entity Identifier (LEI)	4 Registered With	5 Investment Management Agreement (IMA) Filed
123570	Stephens Capital Management	N/A	SEC	DS
110093	Regions - Luther King	N/A	SEC	DS
106398	FCI Advisors	N/A	SEC	DS

18.1 Have all the filing requirements of the Purposes and Procedures Manual of the NAIC Investment Analysis Office been followed? Yes [X] No []

18.2 If no, list exceptions:

.....
.....
.....

GENERAL INTERROGATORIES

PART 2 - HEALTH

1.

Operating Percentages:

1.1

A&H loss percent

%

1.2

A&H cost containment percent

%

1.3

A&H expense percent excluding cost containment expenses

%
- 2.1

Do you act as a custodian for health savings accounts?

Yes [☐] No [☒]
- 2.2

If yes, please provide the amount of custodial funds held as of the reporting date.

\$
- 2.3

Do you act as an administrator for health savings accounts?

Yes [☐] No [☒]
- 2.4

If yes, please provide the balance of the funds administered as of the reporting date.

\$

SCHEDULE S - CEDED REINSURANCE

Showing All New Reinsurance Treaties - Current Year to Date

[illegible]

SCHEDULE T - PREMIUMS AND ANNUITY CONSIDERATIONS
Current Year To Date - Allocated by States and Territories

States, Etc.		1	Direct Business Only							
			2	3	4	5	6	7	8	9
		Active Status	Accident & Health Premiums	Medicare Title XVIII	Medicaid Title XIX	Federal Employees Health Benefits Program Premiums	Life & Annuity Premiums & Other Considerations	Property / Casualty Premiums	Total Columns 2 Through 7	Deposit-Type Contracts
1. Alabama	AL	N								
2. Alaska	AK	N								
3. Arizona	AZ	N								
4. Arkansas	AR	N	31,384,588						31,384,588	
5. California	CA	N								
6. Colorado	CO	N								
7. Connecticut	CT	N								
8. Delaware	DE	N								
9. District of Columbia	DC	N								
10. Florida	FL	N								
11. Georgia	GA	N								
12. Hawaii	HI	N								
13. Idaho	ID	N								
14. Illinois	IL	N								
15. Indiana	IN	N								
16. Iowa	IA	N								
17. Kansas	KS	N								
18. Kentucky	KY	N								
19. Louisiana	LA	N								
20. Maine	ME	N								
21. Maryland	MD	N								
22. Massachusetts	MA	N								
23. Michigan	MI	N								
24. Minnesota	MN	N								
25. Mississippi	MS	N								
26. Missouri	MO	N								
27. Montana	MT	N								
28. Nebraska	NE	N								
29. Nevada	NV	N								
30. New Hampshire	NH	N								
31. New Jersey	NJ	N								
32. New Mexico	NM	N								
33. New York	NY	N								
34. North Carolina	NC	N								
35. North Dakota	ND	N								
36. Ohio	OH	N								
37. Oklahoma	OK	N								
38. Oregon	OR	N								
39. Pennsylvania	PA	N								
40. Rhode Island	RI	N								
41. South Carolina	SC	N								
42. South Dakota	SD	N								
43. Tennessee	TN	N								
44. Texas	TX	N								
45. Utah	UT	N								
46. Vermont	VT	N								
47. Virginia	VA	N								
48. Washington	WA	N								
49. West Virginia	WV	N								
50. Wisconsin	WI	N								
51. Wyoming	WY	N								
52. American Samoa	AS	N								
53. Guam	GU	N								
54. Puerto Rico	PR	N								
55. U.S. Virgin Islands	VI	N								
56. Northern Mariana Islands	MP	N								
57. Canada	CAN	N								
58. Aggregate other alien	OT	X X X								
59. Subtotal		X X X	31,384,588						31,384,588	
60. Reporting entity contributions for Employee Benefit Plans		X X X								
61. Totals (Direct Business)		(a)	31,384,588						31,384,588	

DETAILS OF WRITE-INS									
58001.		X X X							
58002.		X X X							
58003.		X X X							
58998. Summary of remaining write-ins for Line 58		X X X							
58999. Totals (Lines 58001 through 58003 plus 58998) (Line 58 above)		X X X							

(L) Licensed or Chartered - Licensed Insurance Carrier or Domiciled RRG;(R) Registered - Non-domiciled RRGs; (Q) Qualified - Qualified or Accredited Reinsurer; (E) Eligible - Reporting Entities eligible or approved to write Surplus Lines in the state; (N) None of the above - Not allowed to write business in the state.

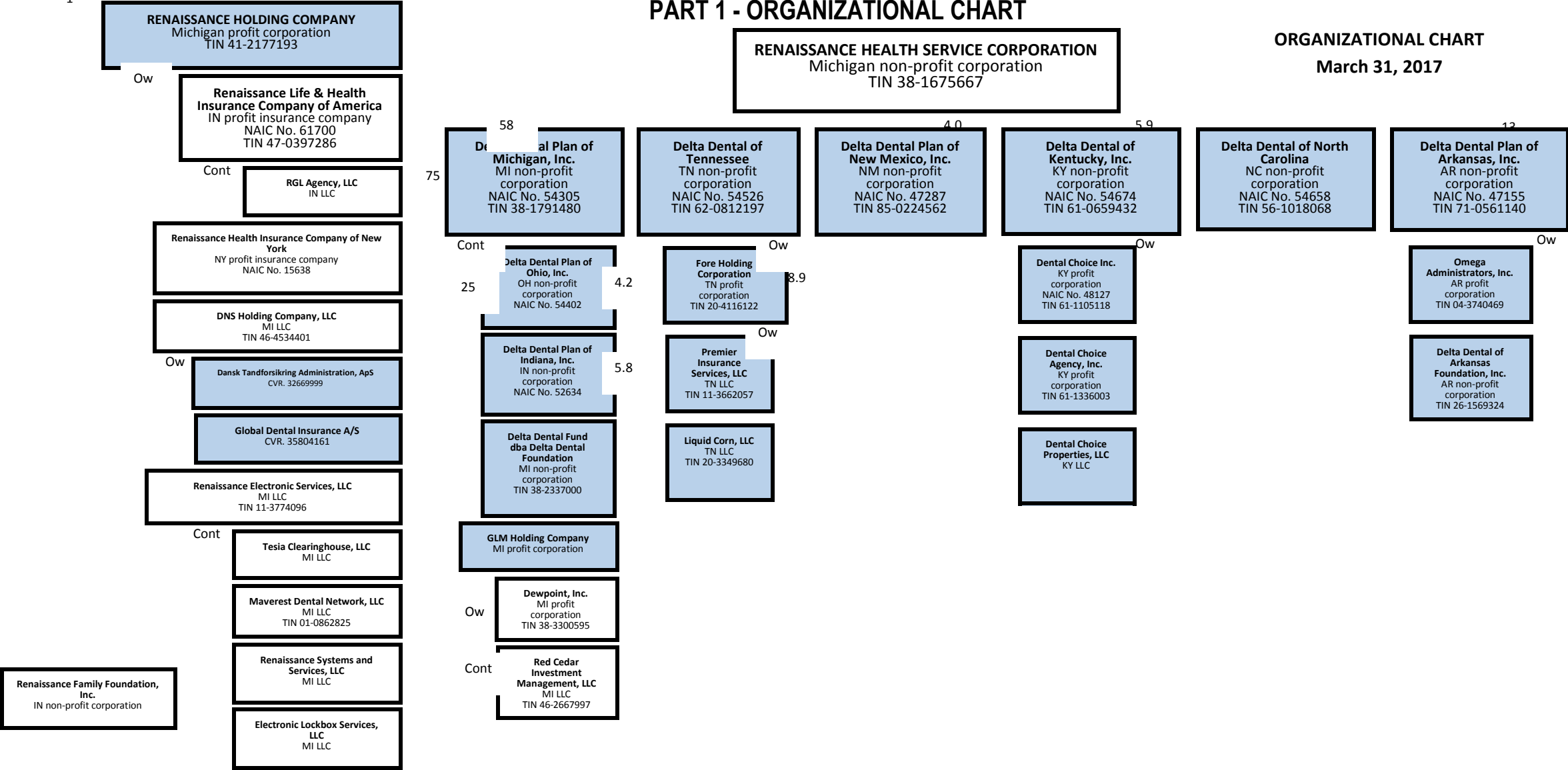
(a) Insert the number of L responses except for Canada and Other Alien.

SCHEDULE Y - INFORMATION CONCERNING ACTIVITIES OF INSURER MEMBERS OF A HOLDING COMPANY GROUP

1

PART 1 - ORGANIZATIONAL CHART

ORGANIZATIONAL CHART
March 31, 2017



SCHEDULE Y

PART 1A - DETAIL OF INSURANCE HOLDING COMPANY SYSTEM

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Group Code	Group Name	NAIC Company Code	ID Number	Federal RSSD	CIK	Name of Securities Exchange if Publicly Traded (U.S. or International)	Names of Parent, Subsidiaries Or Affiliates	Domiciliary Location	Relationship to Reporting Entity	Directly Controlled by (Name of Entity / Person)	Type of Control (Ownership, Board, Management, Attorney-in-Fact, Influence, Other)	If Control is Ownership Provide Percentage	Ultimate Controlling Entity(ies)/Person(s)	Is an SCA Filing Required? (Y/N)	*
16		0	38-1675667				Renaissance Health Service Corporation	MI	NIA					N	
		0	46-1376165				Renaissance Family Foundation, Inc.	IN	NIA					N	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Michigan, Inc.	Ownership	58.0	Renaissance Health Service Corporat	Y	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Ohio, Inc.	Ownership	4.2	Renaissance Health Service Corporat	Y	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Indiana, Inc.	Ownership	5.8	Renaissance Health Service Corporat	Y	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Fore Holding Corporation	Ownership	8.9	Renaissance Health Service Corporat	N	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental of Kentucky, Inc.	Ownership	5.9	Renaissance Health Service Corporat	Y	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of New Mexico, Inc.	Ownership	4.0	Renaissance Health Service Corporat	Y	
		0	41-2177193				Renaissance Holding Company	MI	NIA	Delta Dental Plan of Arkansas, Inc.	Ownership	13.2	Renaissance Health Service Corporat	N	
	477 Renaissance Health Service Corporation	61700	47-0397286				Renaissance Life & Health Insurance Company of Americ	IN	IA	Renaissance Holding Company	Ownership	100.0	Renaissance Health Service Corporat	N	
	477 Renaissance Health Service Corporation	15638	13-4098096				Renaissance Health Insurance Company of New York	NY	IA	Renaissance Holding Company	Ownership	100.0	Renaissance Health Service Corporat	N	
		0	46-4534401				DNS Holding Company, LLC	MI	NIA	Renaissance Holding Company	Ownership	100.0	Renaissance Health Service Corporat	N	
		0	32669999				Dansk Tandforsikring Administration ApS	DK	NIA	DNS Holding Company, LLC	Ownership	79.2	Renaissance Health Service Corporat	N	
		0	35804161				Global Dental Insurance A/S	DK	NIA	DNS Holding Company, LLC	Ownership	100.0	Renaissance Health Service Corporat	N	
		0	26-2403888				Tesia Clearinghouse, LLC	MI	NIA	Renaissance Electronic Services, LLC	Ownership	100.0	Renaissance Health Service Corporat	N	
		0	11-3774096				Renaissance Electronic Services, LLC	MI	NIA	Renaissance Holding Company	Ownership	100.0	Renaissance Health Service Corporat	N	
		0	01-0862825				Renaissance Dental Network, LLC	MI	NIA	Renaissance Electronic Services, LLC	Ownership	100.0	Renaissance Health Service Corporat	N	
	477 Renaissance Health Service Corporation	54305	38-1791480				Delta Dental Plan of Michigan, Inc.	MI	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporat	N	
	477 Renaissance Health Service Corporation	54402	31-0685339				Delta Dental Plan of Ohio, Inc.	OH	IA	Delta Dental Plan of Michigan, Inc.	Board of Directors		Renaissance Health Service Corporat	N	
	477 Renaissance Health Service Corporation	52634	35-1545647				Delta Dental Plan of Indiana, Inc.	IN	IA	Delta Dental Plan of Michigan, Inc.	Board of Directors		Renaissance Health Service Corporat	N	
		0	38-2337000				Delta Dental Fund dba Delta Dental Foundation	MI	NIA	Delta Dental Plan of Michigan, Inc.	Board of Directors		Renaissance Health Service Corporat	N	
		0	46-2667997				Red Cedar Investment Management, LLC	MI	NIA	GLM Holding Company	Board of Directors		Renaissance Health Service Corporat	N	
		0	47-2557772				GLM Holding Company	MI	NIA	Delta Dental Plan of Michigan, Inc.	Ownership	75.0	Renaissance Health Service Corporat	Y	
		0	47-2557772				GLM Holding Company	MI	NIA	Delta Dental Plan of Ohio Inc.	Ownership	25.0	Renaissance Health Service Corporat	Y	
		0	38-3300595				Dewpoint, Inc.	MI	NIA	GLM Holding Company	Ownership	100.0	Renaissance Health Service Corporat	N	
		0	38-3638865				Renaissance Systems and Services, LLC	MI	NIA	Renaissance Electronic Services, LLC	Ownership	100.0	Renaissance Health Service Corporat	N	
		0	45-4734479				Electronic Lockbox Services, LLC	MI	NIA	Renaissance Electronic Services, LLC	Ownership	100.0	Renaissance Health Service Corporat	N	
	477 Renaissance Health Service Corporation	54526	62-0812197				Delta Dental of Tennessee	TN	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporat	N	
		0	20-4116122				Fore Holding Corporation	TN	NIA	Delta Dental of Tennessee	Ownership	100.0	Renaissance Health Service Corporat	N	
		0	11-3662057				Premier Insurance Services, LLC	TN	NIA	Delta Dental of Tennessee	Ownership	100.0	Renaissance Health Service Corporat	N	
		0	20-3349680				Liquid Com, LLC	TN	NIA	Delta Dental of Tennessee	Ownership	100.0	Renaissance Health Service Corporat	N	
	477 Renaissance Health Service Corporation	47287	85-0224562				Delta Dental Plan of New Mexico, Inc.	NM	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporat	N	
	477 Renaissance Health Service Corporation	54674	61-0659432				Delta Dental of Kentucky, Inc.	KY	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporat	N	
		0	61-1336003				Dental Choice Agency, Inc.	KY	NIA	Delta Dental of Kentucky, Inc.	Ownership	100.0	Renaissance Health Service Corporat	Y	
		48127	61-1105118				Dental Choice Inc.	KY	NIA	Delta Dental of Kentucky, Inc.	Ownership	100.0	Renaissance Health Service Corporat	N	
		0					Dental Choice Properties, LLC	KY	NIA	Delta Dental of Kentucky, Inc.	Ownership	100.0	Renaissance Health Service Corporat	N	
	477 Renaissance Health Service Corporation	54658	56-1018068				Delta Dental of North Carolina	NC	IA	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporat	N	
	477 Renaissance Health Service Corporation	47155	71-0561140				Delta Dental Plan of Arkansas, Inc.	AR	RE	Renaissance Health Service Corporation	Board of Directors		Renaissance Health Service Corporat	N	
		0	04-3740469				Omega Administrators, Inc.	AR	NIA	Delta Dental Plan of Arkansas, Inc.	Ownership	100.0	Renaissance Health Service Corporat	N	
		0	26-1569324				Delta Dental of Arkansas Foundation, Inc.	AR	NIA	Delta Dental Plan of Arkansas, Inc.	Board of Directors		Renaissance Health Service Corporat	N	

SUPPLEMENTAL EXHIBITS AND SCHEDULES INTERROGATORIES

The following supplemental reports are required to be filed as part of your statement filing. However, in the event that your company does not transact the type of business for which the special report must be filed, your response of NO to the specific interrogatory will be accepted in lieu of filing a "NONE" report and a bar code will be printed below. If the supplement is required of your company but is not being filed for whatever reason enter SEE EXPLANATION and provide an explanation following the interrogatory questions.

	<u>Response</u>
1. Will the Medicare Part D Coverage Supplement be filed with the state of domicile and the NAIC with this statement?	NO

Explanation:

Question 1: Not applicable

Bar Code:



OVERFLOW PAGE FOR WRITE-INS

SCHEDULE A - VERIFICATION
Real Estate

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	8,623,547	8,573,054
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		274,976
3. Current year change in encumbrances		
4. Total gain (loss) on disposals		
5. Deduct amounts received on disposals		
6. Total foreign exchange change in book/adjusted carrying value		
7. Deduct current year's other than temporary impairment recognized		
8. Deduct current year's depreciation	59,873	224,483
9. Book/adjusted carrying value at the end of current period (Lines 1 + 2 + 3 + 4 - 5 + 6 - 7 - 8)	8,563,674	8,623,547
10. Deduct total nonadmitted amounts		
11. Statement value at end of current period (Line 9 minus Line 10)	8,563,674	8,623,547

SCHEDULE B - VERIFICATION
Mortgage Loans

	1 Year To Date	2 Prior Year Ended December 31
1. Book value/recorded investment excluding accrued interest, December 31 of prior year		
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)		
6. Total gain (loss) on disposals		
7. Deduct amounts received on disposals		
8. Deduct amortization of premium and mortgage interest points and commitment fees		
9. Total foreign exchange change in book value/recorded investment excluding accrued interest		
10. Deduct current year's other than temporary impairment recognized		
11. Book value/recorded investment excluding accrued interest at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)		
12. Total valuation allowance		
13. Subtotal (Line 11 plus Line 12)		
14. Deduct total nonadmitted amounts		
15. Statement value at end of current period (Line 13 minus Line 14)		

NONE

SCHEDULE BA - VERIFICATION
Other Long-Term Invested Assets

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	4,318,752	4,888,436
2. Cost of acquired:		
2.1 Actual cost at time of acquisition		
2.2 Additional investment made after acquisition		253,430
3. Capitalized deferred interest and other		
4. Accrual of discount		
5. Unrealized valuation increase (decrease)	(4,544)	
6. Total gain (loss) on disposals		253,430
7. Deduct amounts received on disposals	62,500	1,076,544
8. Deduct amortization of premium and depreciation		
9. Total foreign exchange change in book/adjusted carrying value		
10. Deduct current year's other than temporary impairment recognized		
11. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 + 6 - 7 - 8 + 9 - 10)	4,251,708	4,318,752
12. Deduct total nonadmitted amounts		
13. Statement value at end of current period (Line 11 minus Line 12)	4,251,708	4,318,752

SCHEDULE D - VERIFICATION
Bonds and Stocks

	1 Year To Date	2 Prior Year Ended December 31
1. Book/adjusted carrying value of bonds and stocks, December 31 of prior year	71,522,809	58,674,190
2. Cost of bonds and stocks acquired	6,700,439	36,333,218
3. Accrual of discount	12,452	38,964
4. Unrealized valuation increase (decrease)	1,491,764	(1,975,768)
5. Total gain (loss) on disposals	18,822	2,953,267
6. Deduct consideration for bonds and stocks disposed of	6,995,989	24,475,913
7. Deduct amortization of premium	10,597	25,149
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	72,739,700	71,522,809
11. Deduct total nonadmitted amounts	17,001,737	19,232,930
12. Statement value at end of current period (Line 10 minus Line 11)	55,737,963	52,289,879

SCHEDULE D - PART 1B

Showing the Acquisitions, Dispositions and Non-Trading Activity
During the Current Quarter for all Bonds and Preferred Stock by NAIC Designation

	1	2	3	4	5	6	7	8
NAIC Designation	Book/Adjusted Carrying Value Beginning of Current Quarter	Acquisitions During Current Quarter	Dispositions During Current Quarter	Non-Trading Activity During Current Quarter	Book/Adjusted Carrying Value End of First Quarter	Book/Adjusted Carrying Value End of Second Quarter	Book/Adjusted Carrying Value End of Third Quarter	Book/Adjusted Carrying Value December 31 Prior Year
BONDS								
1. NAIC 1 (a)	30,447,000	4,157,794	1,839,482	(128,744)	32,636,568			30,447,000
2. NAIC 2 (a)	1,882,494	1,602,313		(2,367)	3,482,440			1,882,494
3. NAIC 3 (a)								
4. NAIC 4 (a)								
5. NAIC 5 (a)								
6. NAIC 6 (a)								
7. Total Bonds	32,329,494	5,760,107	1,839,482	(131,111)	36,119,008			32,329,494
PREFERRED STOCK								
8. NAIC 1								
9. NAIC 2								
10. NAIC 3								
11. NAIC 4								
12. NAIC 5								
13. NAIC 6								
14. Total Preferred Stock								
15. Total Bonds & Preferred Stock	32,329,494	5,760,107	1,839,482	(131,111)	36,119,008			32,329,494

5012

(a) Book/Adjusted Carrying Value column for the end of the current reporting period includes the following amount of non-rated, short-term and cash-equivalent bonds by NAIC designation:

NAIC 1 \$ 0; NAIC 2 \$ 0; NAIC 3 \$ 0; NAIC 4 \$ 0; NAIC 5 \$ 0; NAIC 6 \$ 0

SCHEDULE DA - PART 1
Short-Term Investments

	1	2	3	4	5
	Book/Adjusted Carrying Value	Par Value	Actual Cost	Interest Collected Year To Date	Paid for Accrued Interest Year To Date
9199999	978,980	X X X	978,980	253	

SCHEDULE DA - VERIFICATION
Short-Term Investments

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	390,826	278,636
2. Cost of short-term investments acquired	681,861	543,579
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals	93,707	431,389
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	978,980	390,826
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	978,980	390,826

SCHEDULE DB - PART A - VERIFICATION
Options, Caps, Floors, Collars, Swaps and Forwards

1.	Book/Adjusted Carrying Value, December 31, prior year (Line 9, prior year)	
2.	Cost Paid/(Consideration Received) on additions	
3.	Unrealized Valuation increase/(decrease)	
4.	Total gain (loss) on termination recognized	
5.	Considerations received/(paid) on terminations	
6.	Amortization	
7.	Adjustment to the Book/Adjusted Carrying Value of hedged item	
8.	Total foreign exchange change in Book/Adjusted Carrying Value	
9.	Book/Adjusted Carrying Value at End of Current Period (Lines 1 + 2 + 3 + 4 - 5 + 6 + 7 + 8)	
10.	Deduct nonadmitted assets	
11.	Statement value at end of current period (Line 9 minus Line 10)	

NONE

SCHEDULE DB - PART B - VERIFICATION
Future Contracts

1.	Book/Adjusted carrying value, December 31 of prior year (Line 6, prior year)	
2.	Cumulative cash change (Section 1, Broker Name/Net Cash Deposits Footnote - Cumulative Cash Change column)	
3.1	Add:	
	Change in variation margin on open contracts - Highly Effective Hedges	
3.11	Section 1, Column 15, current year to date minus	
3.12	Section 1, Column 15, prior year	
	Change in variation margin on open contracts - All Other	
3.13	Section 1, Column 18, current year to date minus	
3.14	Section 1, Column 18, prior year	
3.2	Add:	
	Change in adjustment to basis of hedged item	
3.21	Section 1, Column 17, current year to date minus	
3.22	Section 1, Column 17, prior year	
	Change in amount recognized	
3.23	Section 1, Column 19, current year to date minus	
3.24	Section 1, Column 19, prior year	
3.3	Subtotal (Line 3.1 minus Line 3.2)	
4.1	Cumulative variation margin on terminated contracts during the year	
4.2	Less:	
4.21	Amount used to adjust basis of hedged item	
4.22	Amount recognized	
4.3	Subtotal (Line 4.1 minus Line 4.2)	
5.	Dispositions gains (losses) on contracts terminated in prior year:	
5.1	Total gain (loss) recognized for terminations in prior year	
5.2	Total gain (loss) adjusted into the hedged item(s) for terminations in prior year	
6.	Book/Adjusted carrying value at end of current period (Lines 1 + 2 + 3.3 - 4.3 - 5.1 - 5.2)	
7.	Deduct total nonadmitted amounts	
8.	Statement value at end of current period (Line 6 minus Line 7)	

NONE

SCHEDULE DB - PART C - SECTION 1

Replication (Synthetic Asset) Transactions Open as of Current Statement Date

Replicated (Synthetic Asset) Transactions								Components of the Replication (Synthetic Asset) Transactions							
1	2	3	4	5	6	7	8	Derivative Instrument(s) Open			Cash Instrument(s) Held				
		NAIC Designation or Other Description	Notional Amount	Book/Adjusted Carrying Value	Fair Value	Effective Date	Maturity Date	9	10	11	12	13	14 NAIC Designation or Other Description	15 Book/Adjusted Carrying Value	16 Fair Value
Number	Description	Description	Amount	Value	Value	Date	Date	Description	Carrying Value	Fair Value	CUSIP	Description	Description	Value	Value
505															
9999999	Totals					X X X	X X X	X X X			X X X	X X X	X X X		

SCHEDULE DB - PART C - SECTION 2

Replication (Synthetic Asset) Transactions Open

9016

	First Quarter		Second Quarter		Third Quarter		Fourth Quarter		Year to Date	
	1 Number of Positions	2 Total Replication (Synthetic Asset) Transactions Statement Value	3 Number of Positions	4 Total Replication (Synthetic Asset) Transactions Statement Value	5 Number of Positions	6 Total Replication (Synthetic Asset) Transactions Statement Value	7 Number of Positions	8 Total Replication (Synthetic Asset) Transactions Statement Value	9 Number of Positions	10 Total Replication (Synthetic Asset) Transactions Statement Value
1. Beginning Inventory										
2. Add: Opened or Acquired Transactions										
3. Add: Increases in Replication (Synthetic Asset) Transactions Statement Value	X X X		X X X		X X X		X X X		X X X	
4. Less: Closed or Disposed of Transactions										
5. Less: Positions Disposed of for Failing Effectiveness Criteria										
6. Less: Decreases in Replication (Synthetic Asset) Transactions Statement Value	X X X		X X X		X X X		X X X		X X X	
7. Ending Inventory										

NONE

SCHEDULE DB VERIFICATION

Verification of Book/Adjusted Carrying Value, Fair Value and Potential Exposure of all Open Derivative Contracts

Book/Adjusted Carrying Value Check

1.	Part A, Section 1, Column 14		
2.	Part B, Section 1, Column 15 plus Part B, Section 1 Footnote - Total Ending Cash Balance		
3.	Total (Line 1 plus Line 2)		
4.	Part D, Section 1, Column 5		
5.	Part D, Section 1, Column 6		
6.	Total (Line 3 minus Line 4 minus Line 5)		

NONE

Fair Value Check

7.	Part A, Section 1, Column 16		
8.	Part B, Section 1, Column 13		
9.	Total (Line 7 plus Line 8)		
10.	Part D, Section 1, Column 8		
11.	Part D, Section 1, Column 9		
12.	Total (Line 9 minus Line 10 minus Line 11)		

Potential Exposure Check

13.	Part A, Section 1, Column 21		
14.	Part B, Section 1, Column 20		
15.	Part D, Section 1, Column 11		
16.	Total (Line 13 plus Line 14 minus Line 15)		

SCHEDULE E - VERIFICATION
(Cash Equivalents)

	1	2
	Year To Date	Prior Year Ended December 31
1. Book/adjusted carrying value, December 31 of prior year	150,000	150,000
2. Cost of cash equivalents acquired		
3. Accrual of discount		
4. Unrealized valuation increase (decrease)		
5. Total gain (loss) on disposals		
6. Deduct consideration received on disposals		
7. Deduct amortization of premium		
8. Total foreign exchange change in book/adjusted carrying value		
9. Deduct current year's other than temporary impairment recognized		
10. Book/adjusted carrying value at end of current period (Lines 1 + 2 + 3 + 4 + 5 - 6 - 7 + 8 - 9)	150,000	150,000
11. Deduct total nonadmitted amounts		
12. Statement value at end of current period (Line 10 minus Line 11)	150,000	150,000

SCHEDULE A - PART 2

Showing All Real Estate ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 Description of Property	Location		4 Date Acquired	5 Name of Vendor	6 Actual Cost at Time of Acquisition	7 Amount of Encumbrances	8 Book/Adjusted Carrying Value Less Encumbrances	9 Additional Investment Made After Acquisition
	2 City	3 State						
			NONE					
0399999 Totals								

E01

SCHEDULE A - PART 3

Showing All Real Estate DISPOSED During the Quarter, Including Payments During the Final Year on "Sales Under Contract"

[illegible]

SCHEDULE B - PART 2

Showing All Mortgage Loans ACQUIRED AND ADDITIONS MADE During the Current Quarter

[illegible]

E02

SCHEDULE B - PART 3

Showing All Mortgage Loans DISPOSED, Transferred or Repaid During the Current Quarter

[illegible]

SCHEDULE BA - PART 2

Showing Other Long-Term Invested Assets ACQUIRED AND ADDITIONS MADE During the Current Quarter

1 CUSIP Ident- ification	2 Name or Description	Location		5 Name of Vendor or General Partner	6 NAIC Desig- nation	7 Date Originally Acquired	8 Type and Strategy	9 Actual Cost at Time of Acquisition	10 Additional Investment Made After Acquisition	11 Amount of Encumbrances	12 Commitment for Additional Investment	13 Percentage of Ownership
		3 City	4 State									
000000-00-0	CapRocq Core Real Estate Fund	Little Rock	AR	Undefined	UN	12/27/2012						
1599999 Common Stocks - Joint Venture, Partnership or Limited Liability Interests - Unaffiliated												X X X
4699999 Totals												X X X

EO3

SCHEDULE BA - PART 3

Showing Other Long-Term Invested Assets DISPOSED, Transferred or Repaid During the Current Quarter

1 CUSIP Ident- ification	2 Name or Description	Location		5 Name of Purchaser or Nature of Disposal	6 Date Originally Acquired	7 Disposal Date	8 Book/Adjusted Carrying Value Less Encumbrances, Prior Year	Change in Book/Adjusted Carrying Value						15 Book/Adjusted Carrying Value Less Encumbrances on Disposal	16 Consideration	17 Foreign Exchange Gain (Loss) on Disposal	18 Realized Gain (Loss) on Disposal	19 Total Gain (Loss) on Disposal	20 Investment Income
		3 City	4 State					9 Unrealized Valuation Increase (Decrease)	10 Current Year's (Depreciation) or (Amortization)/ Accretion	11 Current Year's Other Than Temporary Impairment Recognized	12 Capitalized Deferred Interest and Other	13 Total Change in B./A.C.V. (9+10-11+12)	14 Total Foreign Exchange Change in B./A.C.V.						
000000-00-0	CapRocq Core Real Estate Fund	Little Rock	AR	Undefined	12/27/2012	03/01/2017	62,500							62,500	62,500				
1599999 Common Stocks - Joint Venture/Partnership Interests - Unaffiliated							62,500							62,500	62,500				
4499999 Total Unaffiliated							62,500							62,500	62,500				
4699999 Totals							62,500							62,500	62,500				

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
3130AA-QZ-4	Federal Home Loan Co:DDAR Lot:1		01/31/2017	Regions Luther King		300,000	300,000.00		1
912828-K7-4	US Treasury Note Co:DDAR Lot:1		02/08/2017	Stephens Capital Management		391,500	400,000.00	3,870	1
912828-2A-7	US TREASURY NOTE Co:DDAR Lot:1		02/08/2017	Stephens Capital Management		372,031	400,000.00	2,902	1
912828-R3-6	US Treasury Note Co:DDAR Lot:3		02/08/2017	Stephens Capital Management		377,063	400,000.00	1,544	1
0599999	Subtotal - Bonds - U. S. Government				X X X	1,440,594	1,500,000.00	8,316	X X X
023135-AM-8	Amazon BOND Co:DDAR Lot:1		01/05/2017	Regions Luther King		208,032	200,000.00	642	2FE
0258M0-DT-3	American Express Co:DDAR Lot:1		01/09/2017	Regions Luther King		100,466	100,000.00	303	1FE
03027X-AC-4	American Tower Corp BOND Co:DDAR Lot:1		01/12/2017	Regions Luther King		153,716	150,000.00	2,168	2FE
06050T-ME-9	Bank of America BOND Co:DDAR Lot:1		01/13/2017	Regions Luther King		100,536	100,000.00	239	1FE
166764-AY-6	Chevron BOND Co:DDAR Lot:1		01/05/2017	Regions Luther King		176,454	175,000.00	623	1FE
126650-CT-5	CVS Health Bond Co:DDAR Lot:1		01/01/2017	Regions Luther King		295,356	300,000.00	602	2FE
278865-AT-7	Ecolab BOND Co:DDAR Lot:1		01/19/2017	Regions Luther King		300,960	300,000.00	167	2FE
29379V-BK-8	Enterprise BOND Co:DDAR Lot:1		01/01/2017	Regions Luther King		151,296	150,000.00	938	2FE
458140-AQ-3	Intel Corp BOND Co:DDAR Lot:1		01/27/2017	Regions Luther King		202,992	200,000.00	2,423	1FE
48127H-AA-7	JP Morgan BOND Co:DDAR Lot:1		01/01/2017	Regions Luther King		201,136	200,000.00	880	1FE
585055-BA-3	Medtronic BOND Co:DDAR Lot:1		01/01/2017	Regions Luther King		199,820	200,000.00	718	1FE
58933Y-AS-4	Merck BOND Co:DDAR Lot:1		01/01/2017	Regions Luther King		199,992	200,000.00	1,470	1FE
674599-CD-5	Occidental Pete BOND Co:DDAR Lot:1		01/19/2017	Regions Luther King		155,127	155,000.00	1,027	1FE
68389X-AX-3	Oracle Bond Co:DDAR Lot:1		02/01/2017	Regions Luther King		202,636	200,000.00	1,413	1
742718-DY-2	Procter & Gamble Co:DDAR Lot:1		01/12/2017	Regions Luther King		196,373	195,000.00	2,018	1FE
776743-AC-0	Roper Techonology BOND Co:DDAR Lot:1		01/01/2017	Regions Luther King		199,330	200,000.00	218	2FE
776743-AC-0	Roper Techonology BOND Co:DDAR Lot:2		01/09/2017	Regions Luther King		100,393	100,000.00	179	2FE
92343V-BJ-2	Verizon BOND Co:DDAR Lot:1		01/01/2017	Regions Luther King		193,230	200,000.00	858	2FE
3899999	Subtotal - Bonds - Industrial and Miscellaneous (Unaffiliated)				X X X	3,337,845	3,325,000.00	16,886	X X X
92206C-40-9	Vanguard Short Term Corp Bond ETF Co:DDAR Lot:7	N/A	02/13/2017	Stephens Capital Management		299,807			1FE
8199999	Subtotal - Bonds - SVO Identified Funds				X X X	299,807			X X X
8399997	Subtotal - Bonds - Part 3				X X X	5,078,246	4,825,000.00	25,202	X X X
8399998	Summary Item from Part 5 for Bonds				X X X	X X X	X X X	X X X	X X X
8399999	Total - Bonds				X X X	5,078,246	4,825,000.00	25,202	X X X
023135-10-6	AMAZON.COM STK Co:DDAR Lot:5		03/27/2017	First Security	80.000	67,231			L
03076C-10-6	Ameriprise Financial Co:DDAR Lot:2		01/23/2017	First Security	330.000	37,321			L
031162-10-0	Amgen Inc STOCK Co:DDAR Lot:1		03/28/2017	First Security	340.000	56,041			L
032654-10-5	Analog Devices Co:DDAR Lot:1		02/21/2017	First Security	215.000	17,741			L

(a) For all common stock bearing the NAIC market indicator 'U' provide: the number of such issues 0.

SCHEDULE D - PART 3

Show All Long-Term Bonds and Stock Acquired During the Current Quarter

1 CUSIP Ident- ification	2 Description	3 Foreign	4 Date Acquired	5 Name of Vendor	6 Number of Shares of Stock	7 Actual Cost	8 Par Value	9 Paid for Accrued Interest and Dividends	10 NAIC Designation or Market Indicator (a)
143658-30-0	Carnival Corp Co:DDAR Lot:1		03/28/2017	First Security	1,080.000	64,500			L
149123-10-1	Caterpillar Inc Co:DDAR Lot:1		03/28/2017	First Security	575.000	53,489			L
25278X-10-9	Diamondback Energy Co:DDAR Lot:1		02/21/2017	First Security	55.000	5,887			L
316175-50-4	Fimm Treasury Co:DDAR Lot:32		01/20/2017	Regions Luther King	214,696.810	214,697			L
316175-50-4	Fimm Treasury Co:DDAR Lot:33		02/20/2017	Regions Luther King	31,402.250	31,402			L
316175-50-4	Fimm Treasury Co:DDAR Lot:34		03/01/2017	Regions Luther King	6,814.630	6,815			L
316175-50-4	Fimm Treasury Co:DDAR Lot:35		03/06/2017	Regions Luther King	969.000	969			L
316175-50-4	Fimm Treasury Co:DDAR Lot:36		03/07/2017	Regions Luther King	247.500	248			L
316175-50-4	Fimm Treasury Co:DDAR Lot:37		03/09/2017	Regions Luther King	37,007.090	37,007			L
316175-50-4	Fimm Treasury Co:DDAR Lot:38		03/10/2017	Regions Luther King	3,040.000	3,040			L
316175-50-4	Fimm Treasury Co:DDAR Lot:39		03/13/2017	Regions Luther King	720.000	720			L
316175-50-4	Fimm Treasury Co:DDAR Lot:40		03/15/2017	Regions Luther King	8,862.440	8,862			L
316175-50-4	Fimm Treasury Co:DDAR Lot:41		03/17/2017	Regions Luther King	260.000	260			L
316175-50-4	Fimm Treasury Co:DDAR Lot:42		03/22/2017	Regions Luther King	105.000	105			L
316175-50-4	Fimm Treasury Co:DDAR Lot:43		03/23/2017	Regions Luther King	1,023.500	1,024			L
316175-50-4	Fimm Treasury Co:DDAR Lot:44		03/30/2017	Regions Luther King	812.000	812			L
316175-50-4	Fimm Treasury Co:DDAR Lot:45		03/31/2017	Regions Luther King	1,874.240	1,874			L
461202-10-3	Intuit Inc Co:DDAR Lot:2		03/20/2017	First Security	45.000	5,568			L
46625H-10-0	JP Morgan Chase Co:DDAR Lot:4		01/23/2017	First Security	125.000	10,468			L
595017-10-4	Microchip Technology Co:DDAR Lot:1		02/21/2017	First Security	245.000	17,744			L
723787-10-7	Pioneer Natural Resources Co:DDAR Lot:4		02/21/2017	First Security	30.000	5,828			L
743315-10-3	Progressive Corp Co:DDAR Lot:1		02/21/2017	First Security	470.000	18,270			L
863667-10-1	Stryker Corp Co:DDAR Lot:1		02/21/2017	First Security	140.000	17,830			L
91324P-10-2	UnitedHealth Group Co:DDAR Lot:2		03/20/2017	First Security	70.000	11,851			L
989701-10-7	ZIONS BANCORPORATION Co:DDAR Lot:5		03/20/2017	Regions Luther King	395.000	17,244			L
9099999	Subtotal - Common Stock - Industrial and Miscellaneous (Unaffiliated)				X X X	714,848	X X X		X X X
464287-55-6	Ishares Nasdaq Biotechnology Co:DDAR Lot:1		03/07/2017	First Security	60.000	17,840			L
683974-50-5	Oppenheimer Developing Markets Fnd Co:DDAR Lot:15		02/13/2017	FSI	17,279.036	595,090			L
683974-50-5	Oppenheimer Developing Markets Fnd Co:DDAR Lot:16		03/07/2017	FSI	8,508.881	292,195			L
779919-10-9	T Rowe Price Real Estate Co:DDAR Lot:2		03/30/2017	First Security	80.009	2,223			L
9299999	Subtotal - Common Stock - Mutual Funds				X X X	907,348	X X X		X X X
9799997	Subtotal - Common Stock - Part 3				X X X	1,622,196	X X X		X X X
9799998	Summary Item from Part 5 for Common Stocks				X X X	X X X	X X X	X X X	X X X
9799999	Total - Common Stock				X X X	1,622,196	X X X		X X X
9899999	Total - Preferred and Common Stock				X X X	1,622,196	X X X		X X X

(a) For all common stock bearing the NAIC market indicator 'U' provide: the number of such issues 0.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of
During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident- ification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amort- ization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Desig- nation or Market Indicator (a)
912828-NW-6	United States Treasury Note Co:DDAR		02/08/2017	Stephens Capital Manage		402,656	400,000.00	383,375	398,166		290		290		398,456		4,200	4,200	3,356	08/31/2017	1
912828-NW-6	United States Treasury Note Co:DDAR		02/08/2017	Stephens Capital Manage		100,664	100,000.00	95,305	99,480		82		82		99,562		1,102	1,102	839	08/31/2017	1
912828-RR-3	US Treasury Note Co:DDAR Lot:1		02/13/2017	Stephens Capital Manage		301,277	300,000.00	298,055	298,659		31		31		298,690		2,588	2,588	1,508	11/15/2021	1
912828-SM-3	US Treasury Note Co:DDAR Lot:1		03/31/2017	MATURITY		325,000	325,000.00	327,222	325,167		(167)		(167)		325,000				1,625	03/31/2017	1
912828-TG-5	US Treasury Note Co:DDAR Lot:1		02/08/2017	Stephens Capital Manage		499,688	500,000.00	494,922	498,866		202		202		499,068		620	620	1,312	07/31/2017	1
912828-SM-3	US Treasury Note Co:DDAR Lot:2		03/31/2017	MATURITY		125,000	125,000.00	125,352	125,027		(27)		(27)		125,000				625	03/31/2017	1
0599999	Subtotal - Bonds - U.S. Governments				X X X	1,754,285	1,750,000.00	1,724,231	1,745,365		411		411		1,745,776		8,510	8,510	9,265	X X X	X X X
8399997	Subtotal - Bonds - Part 4				X X X	1,754,285	1,750,000	1,724,231	1,745,365		411		411		1,745,776		8,510	8,510	9,265	X X X	X X X
8399998	Summary Item from Part 5 for Bonds				X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X
8399999	Total - Bonds				X X X	1,754,285	1,750,000.00	1,724,231	1,745,365		411		411		1,745,776		8,510	8,510	9,265	X X X	X X X
217204-10-6	COPART INC Co:DDAR Lot:1		03/06/2017	Regions Luther King	600.00	36,071		21,954	33,246	(11,292)			(11,292)		21,954		14,117	14,117			L
218681-10-4	Core Mark Holding Co:DDAR Lot:1		02/21/2017	First Security	445.00	14,800		17,376	19,166	(1,790)			(1,790)		17,376		(2,576)	(2,576)			L
256677-10-5	Dollar General Co:DDAR Lot:1		03/27/2017	First Security	900.00	62,529		68,769	66,663	2,106			2,106		68,769		(6,240)	(6,240)	225		L
26443V-10-1	Duluth Holdings Co:DDAR Lot:1		02/21/2017	First Security	620.00	13,483		17,065	15,748	1,317			1,317		17,065		(3,582)	(3,582)			L
316175-50-4	Fimm Treasury Co:DDAR Lot:14		01/20/2017	Regions Luther King	73,834.28	73,834		73,834	73,834						73,834						L
316175-50-4	Fimm Treasury Co:DDAR Lot:15		01/20/2017	Regions Luther King	84.00	84		84	84						84						L
316175-50-4	Fimm Treasury Co:DDAR Lot:16		01/20/2017	Regions Luther King	2,937.50	2,938		2,938	2,938						2,938						L
316175-50-4	Fimm Treasury Co:DDAR Lot:17		01/20/2017	Regions Luther King	336.00	336		336	336						336						L
316175-50-4	Fimm Treasury Co:DDAR Lot:18		01/20/2017	Regions Luther King	6,947.79	6,948		6,948	6,948						6,948						L
316175-50-4	Fimm Treasury Co:DDAR Lot:19		01/20/2017	Regions Luther King	102,848.00	102,848		102,848	102,848						102,848						L
316175-50-4	Fimm Treasury Co:DDAR Lot:20		01/20/2017	Regions Luther King	247.50	248		248	248						248						L
316175-50-4	Fimm Treasury Co:DDAR Lot:21		01/20/2017	Regions Luther King	936.00	936		936	936						936						L
316175-50-4	Fimm Treasury Co:DDAR Lot:22		01/20/2017	Regions Luther King	1,803.50	1,804		1,804	1,804						1,804						L
316175-50-4	Fimm Treasury Co:DDAR Lot:23		01/20/2017	Regions Luther King	1,553.00	1,553		1,553	1,553						1,553						L
316175-50-4	Fimm Treasury Co:DDAR Lot:24		01/20/2017	Regions Luther King	600.00	600		600	600						600						L
316175-50-4	Fimm Treasury Co:DDAR Lot:25		01/20/2017	Regions Luther King	720.00	720		720	720						720						L
316175-50-4	Fimm Treasury Co:DDAR Lot:26		01/20/2017	Regions Luther King	5,615.19	5,615		5,615	5,615						5,615						L
316175-50-4	Fimm Treasury Co:DDAR Lot:27		01/20/2017	Regions Luther King	260.00	260		260	260						260						L
316175-50-4	Fimm Treasury Co:DDAR Lot:28		03/13/2017	Regions Luther King	3,669,423.3	3,669,423		3,669,423	3,669,423						3,669,423				273		L
37518B-10-2	Gigamon Inc Co:DDAR Lot:1		02/21/2017	First Security	245.00	8,948		11,215	11,160	55			55		11,215		(2,266)	(2,266)			L

(a) For all common stock bearing the NAIC market indicator 'U' provide: the number of such issues 0.

SCHEDULE D - PART 4

Show All Long-Term Bonds and Stock Sold, Redeemed or Otherwise Disposed of
During the Current Quarter

1	2	3	4	5	6	7	8	9	10	Change in Book/Adjusted Carrying Value					16	17	18	19	20	21	22
										11	12	13	14	15							
CUSIP Ident- ification	Description	F o r e i g n	Disposal Date	Name of Purchaser	Number of Shares of Stock	Consid- eration	Par Value	Actual Cost	Prior Year Book/ Adjusted Carrying Value	Unrealized Valuation Increase/ (Decrease)	Current Year's (Amort- ization)/ Accretion	Current Year's Other Than Temporary Impairment Recognized	Total Change in B./A.C.V. (11+12-13)	Total Foreign Exchange Change in B./A.C.V.	Book/ Adjusted Carrying Value at Disposal Date	Foreign Exchange Gain (Loss) on Disposal	Realized Gain (Loss) on Disposal	Total Gain (Loss) on Disposal	Bond Interest/ Stock Dividends Received During Year	Stated Contractual Maturity Date	NAIC Desig- nation or Market Indicator (a)
400110-10-2	Grubhub Co:DDAR Lot:1		03/20/2017	First Security	305.00	10,594		11,585	11,474	111			111		11,585		(992)	(992)			L
478160-10-4	Johnson & Johnson Co:DDAR Lot:1		03/28/2017	First Security	250.00	31,276		28,923	28,803	120			120		28,923		2,353	2,353	200		L
500255-10-4	Kohl's Corp Co:DDAR Lot:1		03/28/2017	First Security	1,105.00	42,184		61,460	54,565	6,895			6,895		61,460		(19,276)	(19,276)	608		L
58933Y-10-5	MERCK & CO. INC. Co:DDAR Lot:4		03/28/2017	First Security	385.00	24,310		23,797	22,665	1,132			1,132		23,797		514	514	92		L
74005P-10-4	Praxair Inc Co:DDAR Lot:3		03/28/2017	First Security	550.00	65,479		68,031	64,455	3,577			3,577		68,031		(2,552)	(2,552)			L
80589M-10-2	SCANA Corp Co:DDAR Lot:1		03/28/2017	First Security	970.00	64,599		71,567	71,082	485			485		71,567		(6,967)	(6,967)			L
83088M-10-2	Skyworks Co:DDAR Lot:1		02/24/2017	First Security	300.00	28,945		23,160	22,398	762			762		23,160		5,785	5,785			L
904311-20-6	Under Armour Co:DDAR Lot:1		02/21/2017	First Security	415.00	8,176		11,323	10,446	878			878		11,323		(3,148)	(3,148)			L
949746-10-1	WELLS FARGO STK Co:DDAR Lot:5		01/23/2017	First Security	1,095.00	59,707		61,112	60,345	767			767		61,112		(1,405)	(1,405)			L
9099999	Subtotal - Common Stock - Industrial and Miscellaneous (Unaffiliated)				X X X	4,339,248		4,365,484	4,360,363	5,123			5,123		4,365,484		(26,235)	(26,235)	1,398	X X X	X X X
128119-13-8	Calamos Evolving World Growth Inst Co		02/13/2017	First Security	49,059.62	597,546		563,695	551,430	12,265			12,265		563,695		33,851	33,851			L
779556-40-6	T Rowe Price Mid-Cap Growth Co:DDA		03/07/2017	First Security	3,799.03	304,910		302,213	286,295	15,918			15,918		302,213		2,697	2,697			L
9299999	Subtotal - Common Stock - Mutual Funds				X X X	902,456	X X X	865,908	837,725	28,183			28,183		865,908		36,548	36,548		X X X	X X X
9799997	Subtotal - Common Stock - Part 4				X X X	5,241,704	X X X	5,231,392	5,198,088	33,306			33,306		5,231,392		10,313	10,313	1,398	X X X	X X X
9799998	Summary Item from Part 5 for Common Stocks				X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X	X X X
9799999	Total - Common Stocks				X X X	5,241,704	X X X	5,231,392	5,198,088	33,306			33,306		5,231,392		10,313	10,313	1,398	X X X	X X X
9899999	Total - Preferred and Common Stocks				X X X	5,241,704	X X X	5,231,392	5,198,088	33,306			33,306		5,231,392		10,313	10,313	1,398	X X X	X X X
9999999	Totals					6,995,989	X X X	6,955,623	6,943,453	33,306	411		33,717		6,977,168		18,823	18,823	10,663	X X X	X X X

(a) For all common stock bearing the NAIC market indicator 'U' provide: the number of such issues 0.

SCHEDULE DB - PART A - SECTION 1

Showing all Options, Caps, Floors, Collars, Swaps and Forwards Open as of Current Statement Date

NONE

(a)

NONE

(b)

NONE

Future Contracts Open as of the Current Statement Date

NONE

NONE

E07

NONE

NONE

SCHEDULE DB - PART D - SECTION 1

Counterparty Exposure for Derivative Instruments Open as of Current Statement Date

[illegible]

SCHEDULE DB - PART D - SECTION 2

Collateral for Derivative Instruments Open as of Current Statement Date

Collateral Pledged by Reporting Entity

1	2	3	4	5	6	7	8	9
Exchange, Counterparty or Central Clearinghouse	Type of Asset Pledged	CUSIP Identification	Description	Fair Value	Par Value	Book / Adjusted Carrying Value	Maturity Date	Type of Margin (I, V or IV)
NONE								
0199999 Total Collateral Pledged by Reporting Entity							X X X	X X X

Collateral Pledged to Reporting Entity

1	2	3	4	5	6	7	8	9
Exchange, Counterparty or Central Clearinghouse	Type of Asset Pledged	CUSIP Identification	Description	Fair Value	Par Value	Book / Adjusted Carrying Value	Maturity Date	Type of Margin (I, V or IV)
NONE								
0299999 Total Collateral Pledged to Reporting Entity						X X X	X X X	X X X

SECURITIES LENDING COLLATERAL ASSETS

Reinvested Collateral Assets Owned Current Statement Date

[illegible]

General Interrogatories:

1. Total activity for the year

Fair Value \$	0
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Fair Value \$	0
Fair Value \$	0

Book/Adjusted Carrying Value \$	0
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Book/Adjusted Carrying Value \$	0
Book/Adjusted Carrying Value \$	0

SCHEDULE E - PART 1 - CASH

Month End Depository Balances

[illegible]

SCHEDULE E - PART 2 - CASH EQUIVALENTS

Show Investments Owned End of Current Quarter

[illegible]

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